**!** 



PATENT Customer Number 22,852 Attorney Docket No. 06542.0037

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Shaw	of: / <b>Jong WANG et al.</b>	)
U.S. I	Patent No.: 6,561,671 B2	)
Seria	No.: 09/864,151	) ) ) Group Art Unit: 2875
Filed:	May 25, 2001	) ) Examiner: Guiyoung LEE
For:	CASE WITH VIBRATION- ACTIVATED LIGHT EMITTING INDICATION APPARATUS	) Confirmation No: 1452
Box Is	tant Commissioner for Patents ssue Fee ington, DC 20231	

# **RESPONSE TO NOTICE UNDER 37 CFR 1.251 - PATENT**

We respond to the Notice Under 37 CFR 1.251 - Patent. We enclose a copy of our record of all correspondence to and from the PTO for the patent identified above, as well as a list of such correspondence in chronological order. To the best of our knowledge, this submission constitutes a complete and accurate copy.

If you have any questions or need anything further, please contact us. Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Sir:

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: X 25 /

y:<u>` /////</u> /Rich

Richard V. E

Reg. No. 31,744



PTO-2055-B (Rev. 10/ Approved for use through 07/31/2006. OMB 0651-00 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMER

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control numl

In re Patent No.:	6,561,671 B2	
Patentee:	Shaw Jong WANG et al.	
Patent Date:	May 13, 2003	
Application No.:	09/864,151	
Patentee: Patent Date: Application No.: Filing Date:	May 25, 2001	
Direct to:	Mail Stop RECONSTRUCTION Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	

## NOTICE UNDER 37 CFR 1.251 - Patent

Statement (check the appropriate box):
The copy submitted with this reply is a complete and accurate copy of applicant's record of all of the corresponde between the Office and the applicant for the above-identified application (except for U.S. patent documents), and applicant not aware of any correspondence between the Office and applicant for the above-identified application that is not am applicant's records.
The copy of the paper(s) listed in the notice under 37 CFR 1.251 is/are a complete and accurate copy of applicant's recorsuch paper(s).
The papers produced by applicant are applicant's complete record of all of the correspondence between the Office and applicant for the above-identified application (except for U.S. patent documents), and applicant is not aware of correspondence between the Office and the applicant for the above-identified application that is not among applicant's reco
Applicant does not possess any record of the correspondence between the Office and the applicant for the above-identification.
8/26/04
Date Signature

A copy of this notice should be returned with the reply.

Burden Hour Statement: This collection of information is required by 37 CFR 1.251. The information is used by the public to reply to a request for copi correspondence between the applicant and the USPTO in order to reconstruct an application file. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. form is estimated to take 60 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time ye required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, Virginia 22313-1 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia Patents, P.O. Box 1450, Alexandria, P.O. Box 1450, 22313-1450.

U.S. Patent No.: 6,561,671 B2

U.S. Patent Application Serial No.: 09/864,151
Title: CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING
INDICATION APPARATUS
Filed: May 25, 2001
Applicants: Shaw-Jong WANG et al.

1.	Application (Transmittal Letter, Specification, Drawings,	Declaration
	and Assignment )	05/25/01
2.	Official Filing Receipt	07/24/01
3.	Notice of Recordation	08/11/01
4.	Claim for Priority	03/04/02
<b>5</b> .	Office Action	03/25/02
6.	Amendment	06/25/02
7.	Notice of Publication	07/05/02
8.	Notice of Allowance	01/07/03
9.	Payment of Issue Fee(Submission of formal drawings)	03/18/03
10.	Issue Notification	04/24/03
11.	Letters Patent	05/13/03
12.	Notice Under 37 CFR 1.251-Patent	03/25/04



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Rub (AMIPIO

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20221

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/864,151	05/13/2003	6561671	06542.0037	1452

22852

7590

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP

04/24/2003

1300 I STREET, NW WASHINGTON, DC 20005

RECEIVED

## **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

MAY 0 1 2003

FINNEGAN, HENDERSON, FARABOW, GARRETT AND DUNNER, LLP

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment is 0 day(s), and will be printed on the front page of the patent.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

## APPLICANT(S):

Shaw-Jong Wang, Hsinchu, TAIWAN; Joseph Shen, Taipei, TAIWAN; Chien-Hua Wu, Miao Li Hsien, TAIWAN; Charen Yu, Taipei, TAIWAN;



#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Box ISSUE FEE

Commissioner for Patents

Washington, D.C. 20231

Fax (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

CURRENT CORRESPONDEN	ONS. CE ADDRESS (Note: Legibly mark-t	2100k 1; by (a)	specifying a new		aress; and/or (b) indicating a sep	
	7590 01/07/2003	p with any corrections or us	se Block 1)	Note: A certification Fee(s) Transm	ate of mailing can only be used ittal. This certificate cannot	or domestic mailings of the
FINNEGAN, HI DUNNER LLP	ENDERSON, FARA	BOW, GARRE	TT &	accompanying formal drawing,	papers. Each additional paper, must have its own certificate of	such as an assignment or mailing or transmission.
1300 I STREET, 1	٧W			I horabu aasit.	Certificate of Mailing or Tran	ısmission
WASHINGTON,	DC 20006			United States Poenvelope addres	that this Fee(s) Transmittal is ostal Service with sufficient postal sed to the Box Issue Fee addressed to the Box Issue Fee addressed USPTO, on the date indicated I	being deposited with the age for first class mail in an above, or being facsimile
					e est 10, on the date indicated i	ociow.
						(Depositor's name)
						(Signature)
APPLICATION NO.	FILING DATE	FIE	RST NAMED INVEN	TOP		
09/864,151	05/25/2001		Shaw-Jong Wan		ATTORNEY DOCKET NO. 06542.0037	CONFIRMATION NO.
TITLE OF INVENTION: C	ASE WITH VIBRATION-A	CTIVATED LIGHT	EMITTING INDI	CATION APPARA	ATUS	1452
APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBL	CATION FEE	TOTAL FEE(S) DUE	DATE BUILD
nonprovisional	NO	\$1300	<u></u>	\$300	\$1600	04/07/2003
EXAMIN	VER	ART UNIT	CLASS-SUBCI	466	•	
LEE, GUIY	OUNG	2875	362-15400		•	
O "Fee Address" indication PTO/SB/47; Rev 03-02 of Number is required.  3. ASSIGNEE NAME AND	on (or "Fee Address" Indicati r more recent) attached. Use RESIDENCE DATA TO BE assignee is identified below to the USPTO or is being sub	PRINTED ON THE , no assignee data winitted under separate (B) RE	or agents OR, single firm (ha attorney or age registered patent is listed, no name PATENT (print or ill appear on the prover. Completion SIDENCE: (CITY WAN, REPUE	type) atent. Inclusion of a of this form is NO and STATE OR CO BLIC OF CH	he name of a ra registered s of up to 2 ts. If no name 3 & DUNN  assignce data is only appropriate of the substitute for filing an assign OUNTRY)	· .
4a. The following fee(s) are e	nclosed:		ment of Fee(s):	individual 5	corporation or other private gro	oup entity    government
St Issue Fee				of the fee(s) is enclo	ored	_
☑ Publication Fee		(T) Parent		E 500		
St Advance Order - # of Cop		XI The ( Deposit	Commissioner is he Account Number	reby authorized by $06-0916$	charge the required fee(s), or cre	y in edit any overpayment, to
Commissioner for Patents is re	equested to apply the Issue F	ee and Publication Fe	e (if any) or to re-a	pply any previousl	ly paid issue fee to the application	n identified above.
(Authorized Signature) Richard V. Burgu	An Meg No.	(Date) 3 1	VI		·	
NOTE; The Issue Fee and other than the applicant; a interest as shown by the reco This collection of informatic obtain or retain a benefit by application. Confidentiality i estimated to take 12 minutes completed application form case. Any comments on the suggestions for reducing this Patent and Trademark Office NOT SEND FEES OR Commissioner for Patents, W	Publication Fee If required registered attorney or agent rds of the United States Pate. on is required by 37 CFR I. the public which is to file s governed by 35 U.S.C. 122 to complete, including gath to the USPTO. Time will be amount of time you req is burden, should be sent to the USPTO FORMS TO SUBJECT TO STATE TO THE STATE OF SUBJECT TO	will not be accepted; or the assignee or and Trademark Off 311. The information (and by the USPTO and 37 CFR 1.14. The cring, preparing, and vary depending upon uire to complete this the Chief Information erce, Washington, Department of This Address.	other party in fice.  In is required to to process) an his collection is submitting the the individual is form and/or n Officer, U.S.  C. 2023 I. DO  SEND TO:			
collection of information unle	ss it displays a valid OMB c	ontrol number.				

PATENT Customer Number 22,852 Attorney Docket No. 06542.0037

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
Shaw-Jong WANG et al.	) Notice of Allowance Dated:
•	) January 7, 2003
Serial No: 09/864,151	Confirmation No: 1452
Filed: May 25, 2001	Group Art Unit: 2875
For: CASE WITH VIBRATION- ACTIVATED LIGHT EMITTING INDICATION APPARATUS	Examiner: Guiyoung LEE

Assistant Commissioner for Patents Washington, DC 20231

**ATTN: BOX ISSUE FEE** 

Sir:

# SUBMISSION OF CORRECTED FORMAL DRAWINGS

Filed herewith is one (1) sheet of corrected formal patent drawings containing two (2) figures. It is requested that these drawings be made of official record in the above-identified patent application.

If there are any fees due in connection with the filing of these drawings, please charge the fees to our Deposit Account No. 06-0916. If a fee is required for an extension of time under 37 C.F.R. 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

By:

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: March 18, 2003

/Richard V./Burgujian

Reg. No. \$1,744

FINNEGAN HENDERSON FARABOW GARRETT & DUNNERLLP

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

22852

7590

WASHINGTON, DC 20006

01/07/2003

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 1300 I STREET, NW

EXAMINER

LEE, GUIYOUNG

JAH 1 0 2003

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ART UNIT

CLASS-SUBCLASS 362-154000

FINITERALL, HENTERSON,

DATE MAILED: 01/07/2003

 FARACOW, GARRETT & DURNES, LLP

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 09/864,151
 05/25/2001
 Shaw-Jong Wang
 06542.0037
 1452

TITLE OF INVENTION: CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPARATUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$300	\$1600	04/07/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/864,151	05/25/2001	Shaw-Jong Wang	06542.0037	1452
22852 - 75	90 01/07/2003		EXAMINI	ER
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP			LEE, GUIY	OUNG
1300 I STREET, N			ART UNIT	PAPER NUMBER
WASHINGTON, D	OC 20006		2875	
			DATE MAILED: 01/07/2003	

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

Any questions regarding the patent term extension or adjustment determination should be directed to the Office of Patent Legal Administration at (703)305-1383.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

APPLICATION NO.		FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/864,151		05/25/2001	Shaw-Jong Wang	06542.0037	1452
22852	7590	01/07/2003		EXAMINI	ER
FINNEGAN,	HENDE	RSON, FARABOW,	GARRETT &	LEE, GUIY	OUNG
DUNNER LLP 1300 I STREET				ART UNIT	PAPER NUMBER
WASHINGTON UNITED STAT		0006		2875	
CINIED STATES			DATE MAILED: 01/07/2003		

### Notice of Fee Increase on January 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after January 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on January 1, 2003. See Revision of Patent and Trademark Fees for Fiscal Year 2003; Final Rule, 67 Fed. Reg. 70847, 70849 (November 27, 2002).

The current fee schedule is accessible from: http://www.uspto.gov/main/howtofees.htm.

If the issue fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due," but not the correct amount in view of the fee increase, a "Notice to Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice to Pay Balance of Issue Fee," if the response to the Notice of Allowance and Fee(s) due form is to be filed on or after January 1, 2003 (or mailed with a certificate of mailing on or after January 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)	
Notice of Allered the	09/864,151		
Notice of Allowability	Examiner	WANG ET AL. Art Unit	
	Cuitaguaga		
	Guiyoung Lee	2875	
The MAILING DATE of this communication apper All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	or other appropriate committee of the co	in this application. If not included	d
1. This communication is responsive to the Amendment filed	25 June 2002.		
2. The allowed claim(s) is/are <u>1-9 and 11</u> .			
3. The drawings filed on are accepted by the Examine	r.	•	
<ul> <li>4.  Acknowledgment is made of a claim for foreign priority und</li> <li>a)  All</li> <li>b)  Some*</li> <li>c)  None of the:</li> </ul>		or (f). ·	
<ol> <li>Certified copies of the priority documents have</li> </ol>	been received.		
<ol><li>Certified copies of the priority documents have</li></ol>	been received in Applicati	on No.	
3. Copies of the certified copies of the priority doc	uments have been receive	ed in this national stage application	on from the
international Bureau (PCT Rule 17.2(a)).		and and approach	THOM THE
* Certified copies not received:			
5. Acknowledgment is made of a claim for domestic priority un	der 35 U.S.C. § 119(e) (to	a provisional application).	
(a) in the translation of the foreign language provisional ar	plication has been receive	ad .	
6. Acknowledgment is made of a claim for domestic priority un	der 35 U.S.C. §§ 120 and/	or 121.	
Applicant has THREE MONTHS FROM THE "MAILING DATE" of to below. Failure to timely comply will result in ABANDONMENT of the proof of the complex of the compl	tted Note the etterhed EV	REE-MONTH PERIOD IS NOT EX	XTENDABLE.
	may and dam or decia	ration is deficient.	
8. CORRECTED DRAWINGS must be submitted.			
(a) ☐ including changes required by the Notice of Draftsperso	on's Patent Drawing Revie	w ( PTO-948) attached	
1) 🖂 hereto or 2) 🔲 to Paper No			
(b) including changes required by the proposed drawing co	rrection filed, which	ch has been approved by the Exa	miner.
(c) including changes required by the attached Examiner's	Amendment / Comment o	r in the Office action of Paper No.	·
Identifying indicia such as the application number (see 37 CFR 1.8 of each sheet. The drawings should be filed as a separate paper w	4(c)) should be written on the	ne drawings in the top margin (not fessed to the Official Draftsperson.	the back)
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FOR THI</li> </ol>	t of BIOLOGICAL MATE E DEPOSIT OF BIOLOGIC	ERIAL must be submitted. Note CAL MATERIAL.	e <sub>.</sub> the
Attachment(s)			
☐ Notice of References Cited (PTO-892)	2☐ Notice of	f Informal Patent Application (PTC	) 150\
Notice of Draftperson's Patent Drawing Review (PTO-948)	A T Intention	Summary (PTO-413), Paper No.	J-132)
Information Disclosure Statements (PTO-1449), Paper No.	6∐ Examine	r's Amendment/Comment	0.01
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8⊠ Examine	r's Statement of Reasons for Allov	wance
•	9∏ Other	•	

## **DETAILED ACTION**

- 1. Receipt is acknowledged of the Amendment filed 25 June, 2002.
- 2. In response to the Applicant's request for clarification of the status of claims 5 and 7 in the last office Action, the Examiner confirms that claims 1-4 and 6-13 are rejected under 35 U.S.C. 102 (b) as being anticipated by Chien (USPT 5,836,671) and claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chien (USPT 5,836,671) in view of Chien (USPT 6,082,867).

# Allowable Subject Matter

- 3. Claims 1-9 and 11 are allowed.
- 4. The following is an examiner's statement of reasons for allowance: With regard to independent claim 1, although the prior art of record, Chien (USPT 5,836,671), teaches a backpack or waistpack with a vibration-activated light emitting apparatus, he fails to teach a case having a cover and a container; and a vibration-activated light emitting indication apparatus on the cover of the case, including an indicator plate, which is transparent and has a pattern; a power supply; and an electroluminescent laminate situated beneath the indicator plate; a control unit for connecting the power supply to the electroluminescent laminate when a vibration of the case is sensed, as set forth in the claim. Claims 2-9 and 11 depend from claim 1. Therefore, claims 1-9 and 11 are patentable over the prior art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Art Unit: 2875

#### Conclusion

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to *Guiyoung Lee* whose telephone number is (703) 308-8567. The examiner can normally be reached between the hours of 8:00 AM to 3:30PM Monday thru Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra O'Shea, can be reached on (703) 305-4939. The fax phone number for this Group is (703)872-9318 (before final rejection), (703)872-9319 (after final rejection). The Right Fax phone number for the examiner is (703)746-4766.

Communications via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used by the applicant and should be addressed to [Guiyoung.lee@uspto.gov].

All Internet e-mail communications will be made of record in the application file. PTO employees do not engage in Internet communications where there exists a possibility that sensitive information could be identified or exchanged unless the record includes a properly signed express waiver of the confidentiality requirements of 35 U.S.C. 122. This is more clearly set forth in the Interim Internet Usage Policy published in the Official Gazette of the Patent and Trademark on February 25, 1997 at 1195 OG 89.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0956.

**GYL** 

GAU2875

December/31/2002

Sendra O'Shea Ipervisory Patent Examiner Technology Center 2800



## NOTICE OF DRAFTSPERSON'S PATENT DRAWING REVIEW

The drawing(s) filed (insert date)

A. paperoved by the Draftsperson under 37 CFR 1.84 or 1.152.

B. pojected to by the Draftsperson under 37 CFR 1.84 or 1.152 for the reasons indicated below. The Examiner will require submission of new, corrected drawings when necessary. Corrected drawing must be sumitted according to the instructions on the back of this notice.

1. DRAWINGS. 37 CFR 1.84(a): Acceptable categories of drawings:	8. ARRANGEMENT OF VIEWS. 37 CFR 1.84(i)
Black ink. Color.	Words do not appear on a horizontal, left-to-right fashion
Color drawings are not acceptable until petiton is granted.	when page is either upright or turned so that the top
Fig(s)	becomes the right side, except for graphs. Fig(s)
Pencil and non black ink not permitted. Fig(s)	9. SCALE. 37 CFR 1.84(k)
2. PHOTOGRAPHS. 37 CFR 1.84(b)	Scale not large enough to show mechanism without
1 full-tone set is required. Fig(s)	
Photographs may not be mounted. 37 CFR 1.84(e)	crowding when drawing is reduced in size to two-thirds in
Poor quality (half-tone). Fig(s)	reproduction.
3. TYPE OF PAPER. 37 CFR 1.84(e)	Fig(s)
	10. CHARACTER OF LINES, NUMBERS, & LETTERS.
Paper not flexible; strong, white, and durable.	37 CFR 1.84(i)
Fig(s)	Lines, numbers & letters not uniformly thick and well
Erasures, alterations, overwritings, interlineations,	defined, clean, durable, and black (poor line quality).
folds, copy machine marks not accepted. Fig(s)	Fig(s) $1 - 2$
Mylar, velum paper is not acceptable (too thin).	11. SHADING. 37 CFR 1.84(m)
Fig(s)	Solid black areas pale. Fig(s)
4. SIZE OF PAPER. 37 CFR 1.84(f): Acceptable sizes:	Solid black shading not permitted. Fig(s)
21.0 cm by 29.7 cm (DIN size A4)	Shade lines, pale, rough and blurred. Fig(s)
21.6 cm by 27.9 cm (8 1/2 x 11 inches)	12. NUMBERS, LETTERS, & REFERENCE CHARACTERS.
All drawing sheets not the same size.	37 CFR 1.84(p)
Sheet(s)	Numbers and reference characters not plain and legible.
Drawings sheets not an acceptable size. Fig(s)	Fig(s)
5. MARGINS. 37 CFR 1.84(g): Acceptable margins:	Figure legends are poor. Fig(s)
	Numbers and reference characters not oriented in the
Top 2.5 cm Left 2.5cm Right 1.5 cm Bottom 1.0 cm	same direction as the view. 37 CFR 1.84(p)(1)
SIZE: A4 Size	Fig(s)
Top 2.5 cm Left 2.5 cm Right 1.5 cm Bottom 1.0 cm	English alphabet not used. 37 CFR 1.84(p)(2)
SIZE: 8 1/2 x 11	Figs
Margins not acceptable. Fig(s)	Numbers, letters and reference characters must be at least
Top (T) Left (L)	.32 cm (1/8 inch) in height. 37 CFR 1.84(p)(3)
Right (R) Bottom (B)	Fig(s)
6. VIEWS. 37 CFR 1.84(h)	13. LEAD LINES. 37 CFR 1.84(q)
REMINDER: Specification may require revision to	Lead lines cross each other. Fig(s)
correspond to drawing changes.	— Lead lines missing. Fig(s)
Partial views. 37 CFR 1.84(h)(2)	14. NUMBERING OF SHEETS OF DRAWINGS. 37 CFR 1.84(t)
Brackets needed to show figure as one entity.	Sheets not numbered consecutively, and in Arabic numerals
Fig(s)	beginning with number 1. Sheet(s)
Views not labeled separately or properly.	15. NUMBERING OF VIEWS. 37 CFR 1.84(u)
Fig(s)	Views not numbered consecutively, and in Arabic numerals,
Enlarged view not labeled separetely or properly.	<del></del>
	beginning with number 1. Fig(s)  16. CORRECTIONS. 37 CFR 1.84(w)
Fig(s)	
T OF CONTAIN NOTICE AT OFFI A CARRAGE	Corrections not made from prior PTO-948
7. SECTIONAL VIEWS. 37 CFR 1.84 (h)(3)	dated
Hatching not indicated for sectional portions of an object.	17. DESIGN DRAWINGS. 37 CFR 1.152
Fig(s)	Surface shading shown not appropriate. Fig(s)
Sectional designation should be noted with Arabic or	Solid black shading not used for color contrast.
Roman numbers. Fig(s)	Fig(s)

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#### **FACSIMILE TRANSMITTAL**

TO

Ex. Guiyoung Lee

Name: Richard Burgujian

Name: Firm:

U.S. Patent and Trademark Office

Phone No.: (202) 4024

Fax No.: 703-746-4766

Fax # Verified by:

**FROM** 

R. Burgujian

MD 920

Phone No.:

# Pages (incl. this): 22

Date:

Dec. 10, 2002

Our File No.: 06542,0037

Subject: U.S. Patent Application 09/864,151

Confirmation Copy to Follow: N

## Message:

Ex. Lee:

Per our telephone conversation today, I am faxing to you a copy of our Amendment filed 6/25/02 in the above-referenced application, and the stamped postcard.

Sincerety

If there is a problem with this transmission, notify fax room at (202) 408-4174 or the sender at the number above.

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	pplication of:	)		
	Shaw-Jong WANG et al.	)	Group Art Unit:	2875
Application No.: 09/864,151		) )	Examiner:	G. Lee
Filed:	May 25, 2001	) )		
For:	CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPARATUS (as amended hereby)	) ) )		
	issioner for Patents agton, DC 20231			
Sir:				

#### TRANSMITTAL LETTER

Enclosed is a reply to the Office Action of March 25, 2002. The claims are calculated below:

_	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	Addition	nal Fee
Total	10	-	20	0	x \$ 18	\$	0
Indep.	1	-	3	0	x \$ 84		0
First	Presentation of Multiple	_ <b>I</b>	+\$280	-	0		
					Subtotal	\$	0
Reduction by ½ if small entit							0
							0

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP

1300 l Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com Dated: June 25, 2002

Richard V. Burgujian

Reg. No. 31,744

PATENT Customer No. 22,852 Attorney Docket No. 06542.0037



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)		
Shaw-Jong WANG et al.	)	Group Art Unit:	2875
Application No.: 09/864,151	)	Examiner:	G. Lee
Filed: May 25, 2001	)		
For: CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPARATUS (as amended hereby)	)		
Commissioner for Patents Washington, DC 20231			
Sir:			

## **AMENDMENT**

In reply to the Office Action dated March 25, 2002, the non-fee period for reply extending through June 25, 2002, please amend the application as follows:

#### AMENDMENTS TO THE TITLE

Please replace the title with the following:

-- CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPARATUS --

## **AMENDMENTS TO THE SPECIFICATION**

Please replace the paragraph beginning at page 1, line 5 and extending to page 1, line 8 with the following amended paragraph:

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

Attorney Docket Number: 06542.0037

The present invention relates to a case with a light emitting indication apparatus, and in

particular, to a vibration-activated indication apparatus, which is that located on the surfaces of

packages or any kind of cases and emits light when touched or shaken by a user.

Please replace the paragraph beginning at page 1, line 10 and extending to page 1, line 12

with the following amended paragraph:

Currently, the cases for containing goods can just be beautified with a logo or a figure on

their surfaces or shape. Such superficial beautification of the surface and shape of the case turns

out not quite attractive.

Please replace the paragraph beginning at page 1, line 22 and extending to page 1, line 25

with the following amended paragraph:

An object of the present invention is to provide a case with a vibration-activated light

emitting indication apparatus, which can be activated by vibrations caused by external pressing

or shaking to the case and then shows the patterns on an indicator plate.

Please replace the paragraph beginning at page 1, line 26 and extending to page 2, line 2

with the following amended paragraph:

A further object of the present invention is to provide a case with a vibration-activated

light emitting indication apparatus that can enhance sound and image effects of the decorated

patterns on the surface of a package or any kind of cases.

Please replace the text beginning at page 2, line 3 and extending to page 2, line 14 with

the following amended paragraph:

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To achieve the above purposes, the case with a vibration-activated light emitting indication apparatus of the present invention, comprises a case having a cover and a container; a vibration-activated light emitting indication apparatus, which is mounted on a surface of the case, including; an indicator plate, which is transparent and has a pattern; a power supply; an electroluminescent laminate, which is situated beneath the indicator plate and can emit a light as powered by the power supply; and a control unit, connected to the power supply and the electroluminescent laminate, wherein the control unit connects the power supply to the electroluminescent laminate when it senses the vibrations of the case.

Please replace the text beginning at page 2, line 22 and extending to page 3, line 21 with the following amended text:

## **BRIEF DESCRIPTION OF THE FIGURES**

The present invention will be described in accordance with the accompanying figures, wherein:

Fig. 1 is a first embodiment of a case with a vibration-activated light emitting indication apparatus of the present invention;

Fig. 2 is a second embodiment of a case with a vibration-activated light emitting indication apparatus of the present invention; and

Fig. 3 is a block diagram of a case with a vibration-activated light emitting indication apparatus of the present invention.

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

Attorney Docket Number: 06542.0037

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Fig. 1 shows the first embodiment of the case with a vibration-activated light emitting

indication apparatus in accordance with the present invention. As shown in Fig. 1, the indication

apparatus of the present invention is located on a surface of a DVD case 10, and it can be

activated by the vibration caused by external act of pressing or shaking on the DVD case 10. On

the surface of the DVD case 10, the pattern on an indicator plate 11 can be highlighted by a light

that is emitted from an electroluminescent laminate. The indicator plate 11 may be made out of a

transparent material with patterns intended to be shown thereon. The present invention can also

have a variety of the indicator plates changing the light emitting essences of electroluminescent

laminate, such as single flicking, multiple flicking, flicking frequency, different colors, and so

on.

Fig. 2 shows a second embodiment of the case with a vibration-activated light emitting

indication apparatus in accordance with the present invention. An indicator plate 21 is mounted

on any surfaces on a DVD case 20, if desired.

Please replace the paragraph beginning at page 4, line 3 and extending to page 4, line 9

with the following amended paragraph:

Fig. 3 is a block diagram of the case with a vibration-activated light emitting indication

apparatus of the present invention. A power supply, which includes a driving circuit and

batteries, can power to electrodes of electroluminescent laminate to emit a light. Moreover, the

power supply may be designed for several different power supply modes, such as consecutive

power supply or intermittent power supply, to obtain different effects, such as flicking of the

indicator plate.

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Attorney Docket Number: 06542.0037

Please replace the paragraph beginning at page 4, line 26 and extending to page 4, line 30

with the following amended paragraph:

Given the above, the case with a vibration-activated light emitting apparatus can be

obtained by combining a power supply and a main structure, which includes the case, the

indicator plate, the vibration sensor IC, the electroluminescent laminate, and the inverter. The

case can be a package for a variety of goods, such as a DVD case, a watch case, a jewelry box,

and a stationery case. Furthermore, the indicator plate can be mounted on any arbitrary surfaces

of the case, such as the inside or the outside of the case. The image effect may be exhibited

together with a musical effect. Also, the consecutive multicolored flicking of the

electroluminescent laminate may further highlight the patterns of animals or cartoon, logos,

characters or any other features intended to be shown. The variety of changes derived from

similar ideas is included in the present invention.

AMENDMENTS TO THE CLAIMS

Please cancel claims 10, 12 and 13 without prejudice or disclaimer of the subject matter

thereof.

Please amend claims 1-9 and 11 as follows:

(Amended) A case with a vibration-activated light emitting indication apparatus,

comprising,

a case having a cover and a container; and

a vibration-activated light emitting indication apparatus mounted on the cover of the case,

including;

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- (a) an indicator plate, which is transparent and has a pattern;
- (b) a power supply;
- (c) an electroluminescent laminate situated beneath the indicator plate and being capable of emitting a light as powered by the power supply; and
- (d) a control unit for connecting the power supply to the electroluminescent laminate when a vibration of the case is sensed.
- 2. (Amended) The case according to Claim 1, wherein the electroluminescent laminate is a laminate of single color or multi color.
- 3. (Amended) The case according to Claim 1, wherein the electroluminescent laminate emits the light continuously or emits the light intermittently.
- 4. (Amended) The case according to Claim 1, wherein the control unit further comprises a vibration sensor IC and an inverter.
- 5. (Amended) The case according to Claim 1, wherein the control unit further comprises a music IC to produce music.
  - 6. (Amended) The case according to Claim 1, wherein the power supply is a battery.

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7. (Amended) The case according to Claim 1, wherein a predetermine number of

clock pulses after the electroluminescent laminate emits the light, the control unit disconnects the

power supply from the electroluminescent laminate.

8. (Amended) The case according to Claim 1, wherein the pattern is a logo.

9. (Amended) The case according to Claim 1, wherein the pattern is a pattern of at

least one of an animal or a cartoon character.

(Amended) The apparatus according to Claim 1, wherein the case is a DVD case

or CD case.

AMENDMENTS TO THE ABSTRACT

Please amend the Abstract as follows:

The present invention discloses a case with a vibration-activated light emitting indication

apparatus comprising a case and a light emitting indication apparatus. The light emitting

indication apparatus includes an indicator plate, which is transparent and contains a pattern,

including, for example, a logo, characters, and drawings; an electroluminescent laminate; a

power supply, which powers the electroluminescent laminate; a vibration sensor IC, which

senses the vibration caused by an external act of pressing or shaking on the case, and then

controls an inverter to activate the electroluminescent laminate. The above-mentioned case can

be a container with a cover, such as a DVD case, a watch box, a jewelry box and any other cases.

The pattern on the transparent indicator plate can be highlighted by the light emitted from the

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electroluminescent laminate, achieving a vivid image such that the case is elaborately ornamented.

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Attorney Docket Number: 06542.0037

## <u>REMARKS</u>

In reply to the Office Action dated March 25, 2002, the non-fee period for reply extending through June 25, 2002, claims 1-9 and 11 have been amended and claims 10, 12, and 13 canceled without prejudice or disclaimer of the subject matter thereof. As a result, claims 1-9 and 11 are currently pending. Please consider the following remarks.

Applicants appreciate the courtesy and diligence of the Examiner in responding to the Applicants' request for clarification of the status of claims 5 and 7 in the current Office Action. In a telephone conversation on June 6, 2002, the Examiner indicated that although the detailed action states that claim 7 was rejected under 35 U.S.C. § 103, the Examiner intended for claim 5 to be rejected under § 103 and for claim 7 to be rejected under § 102. As a result, Applicants understand the Examiner to have rejected claims 1-4 and 6-13 under 35 U.S.C. § 102(b) as anticipated by U.S. Patent No. 5,836,671 to Chien ("Chien '671") and claim 5 under 35 U.S.C. § 103(a) as unpatentable over Chien '671 in view of U.S. Patent No. 6,082,867 to Chien ("Chien '867"). Applicants request that the Examiner acknowledge the verbal clarification of the disposition of claims 5 and 7 in a subsequent Office Action or other written communication so that the written record of this case is complete. The rejections are respectfully traversed for the following reasons.

To anticipate claims 1-4, 6-9, and 11 under 35 U.S.C. § 102(b), Chien '671 must disclose each and every feature recited in those claims. Chien '671, however, fails to disclose, at least, a case with a vibration-activated light emitting indication apparatus, comprising a case having a cover and a container; and a vibration-activated light emitting indication apparatus mounted on the cover of the case, as recited in independent claim 1.

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Chien '671 discloses an electroluminescent light strip attached on a backpack or a waistpack. Though the Examiner asserts that Chien '671 teaches a "case [that] is a package box, a DVD box, or bag or a toy case," (Office Action, page 2) the portion cited by the Examiner (Col. 2, lines 25-31) describe the device as a backpack, waistpack, or "other bag or case designed to be suspended from the body of the wearer by a strap." (Chien '671, Col. 2, lines 25-31) As such, Chien '671 fails to disclose, at least, a case having a cover and a container and a vibration-activated light emitting indication apparatus mounted on the cover of the case as recited by independent claim 1. Therefore, because Chien '671 fails to disclose at least these features of independent claim 1, the § 102(b) rejection of that claim should be withdrawn and the claim allowed. Moreover, the rejection of claims 2-4, 6-9, and 11 are allowable at least by virtue of their dependence on allowable independent claim 1.

The Examiner rejected claim 5 under 35 U.S.C. § 103(a) as being unpatentable over Chien '671 in view of Chien '867. This rejection is respectfully traversed, because a *prima facie* case of obviousness has not been made by the Examiner.

To establish a *prima facie* case of obviousness under 35 U.S.C. § 103(a), each of three requirements must be met. First, the reference or references, taken alone or in combination, must teach or suggest each and every element recited in the claims. *See* M.P.E.P. § 2143.03 (8th ed. 2001). Second, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to combine the references in a manner resulting in the claimed invention. Third, a reasonable expectation of success must exist. Moreover, each of these requirements must "be found in the prior art, and not be based on applicant's disclosure." M.P.E.P. § 2143 (8th ed. 2001).

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In this case, though the Examiner acknowledges that Chien '671 does not disclose a music IC to produce music, as recited in claim 5, the Examiner alleges that Chien '867 both teaches a music IC to produce music and provides motivation to combine it with the teachings of Chien '671. In doing so, the Examiner relies on the statement in Chien '867 that "[t]he changing color features of the three-dimensional electro-luminescent lighting element can be utilized in this application by making the color change responsive to sound, hear [sic], humidity, pressure or weight, music, or any other desired condition." (Chien '867, Col. 3, lines 40-45)

Chien '867, including the passage cited by the Examiner, fails to teach or suggest at least a music IC to produce music as recited by claim 5. The passage cited by the Examiner describes the possibility that the device can be responsive to sound. In no way does being *responsive* to sound teach or suggest a music IC to produce sound as recited in claim 5. Therefore, the combination of Chien '671 and Chien '867 fails to teach or suggest each and every element recited by claim 5. As a result, the rejection of claim 5 should be withdrawn and the claim allowed.

The amendments to the title, specification, claims, and abstract have been made to clarify certain aspects of the invention. All find support in the original specification. For example, page 2, line 15 of the specification as filed states that the vibration-activated light emitting indication apparatus "can be mounted on any type of cases." (Spec., Page 2, line 15) As a result, this amendment adds no new matter.

In view of the foregoing, Applicant respectfully requests the reconsideration and reexamination of this application and the timely allowance of the pending claims.

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Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: June 25, 2002

Dichard V Pura

Reg. No. 31,744

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

Attorney Docket Number: 06542.0037

### **ABSTRACT**

The present invention discloses a case with a vibration-activated light emitting indication apparatus comprising a case and a light emitting indication apparatus. The light emitting indication apparatus includes an indicator plate, which is transparent and contains a pattern, including, for example, a logo, characters, and drawings; an electroluminescent laminate; a power supply, which powers the electroluminescent laminate; a vibration sensor IC, which senses the vibration caused by an external act of pressing or shaking on the case, and then controls an inverter to activate the electroluminescent laminate. The above-mentioned case can be a container with a cover, such as a DVD case, a watch box, a jewelry box and any other cases. The pattern on the transparent indicator plate can be highlighted by the light emitted from the electroluminescent laminate, achieving a vivid image such that the case is elaborately ornamented.

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Attorney Docket Number: 06542.0037

# APPENDIX TO AMENDMENT

## VERSION WITH MARKINGS TO SHOW CHANGES MADE

#### AMENDMENTS TO THE TITLE

The title is amended as follows:

CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING INDICATION

APPARATUS[VIBRATION ACTIVATED LIGHT EMITTING INDICATION APPARATUS]

### AMENDMENTS TO THE SPECIFICATION

On page 1, lines 5-8 are amended as follows:

The present invention relates to a <u>case with a</u> light emitting indication apparatus, and in particular, to a vibration-activated indication apparatus, which is that located on the surfaces of packages or any kind of cases and emits light <u>when</u> [as being] touched or [shacked] <u>shaken</u> by a user.

On page 1, lines 10-12 are amended as follows:

Currently, the cases for containing goods can just be beautified with a logo or a figure on their surfaces or shape [on their surface and shape or with logo]. Such superficial beautification of the surface and shape of the case turns out not quite attractive.

On page 1, lines 22-25 are amended as follows:

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An object of the present invention is to provide a case with a vibration-activated light

emitting indication apparatus, which can be activated by vibrations caused by external pressing

or shaking to the [apparatus] case and then shows the patterns on an indicator plate.

On page 1, lines 26-27 and continuing on page 2, lines 1-2, lines are amended as follows:

A further object of the present invention is to provide a case with a vibration-activated

light emitting indication apparatus that can enhance sound and image effects of the decorated

patterns on the surface of a package or any kind of cases.

On page 2, lines 3-14 are amended as follows:

To achieve the above purposes, the case with a vibration-activated light emitting

indication apparatus of the present invention, [which is mounted on a case,] comprises[:] a case

having a cover and a container; a vibration-activated light emitting indication apparatus, which is

mounted on a surface of the case, including; an indicator plate, which is transparent and has a

pattern [thereon]; a power supply; an electroluminescent laminate, which is situated beneath the

indicator plate and can emit a light as powered by the power supply; and a control unit.

connected to the power supply and the electroluminescent laminate, wherein the control unit

connects the power supply to the electroluminescent laminate when it senses the [vibration

caused by external pressing or shaking on the vibration-activated light emitting indication

apparatus] vibrations of the case.

On page 2, lines 23-26 are amended as follows:

BRIEF DESCRIPTION OF THE [PATTERNS] FIGURES

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The present invention will be described in [accidence] accordance with the accompanying figures, wherein:

Fig. 1 is a first embodiment of [the] a case with a vibration-activated light emitting indication apparatus of the present invention;

On page 3, lines 1-21 are amended as follows:

Fig. 2 is a second embodiment of [the] a case with a vibration-activated light emitting indication apparatus of the present invention; and

Fig. 3 is a block diagram of [the] a case with a vibration-activated light emitting indication apparatus of the present invention.

# DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Fig. 1 shows the first embodiment of the case with a vibration-activated light emitting indication apparatus in accordance with the present invention. As shown in Fig. 1, the indication apparatus of the present invention is located on a surface of a DVD [box 10] case 10, and it can be activated by the vibration caused by external act of pressing or shaking on the DVD [box 10] case 10. On the surface of the DVD [box 10] case 10, the pattern on an indicator plate 11 can be highlighted by a light that is emitted from an electroluminescent laminate. The indicator plate 11 may be made out of a transparent material with patterns intended to be shown thereon. The present invention can also have a variety of the indicator plates changing the light emitting essences of electroluminescent laminate, such as single flicking, multiple flicking, flicking frequency, different colors, and so on.

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Fig. 2 shows a second embodiment of the case with a vibration-activated light emitting

indication apparatus in accordance with the present invention. An indicator plate 21 is mounted

on any surfaces on a DVD [box 20] case 20, if desired.

On page 4, lines 3-9 are amended as follows:

Fig. 3 is a block diagram of the case with a vibration-activated light emitting indication

apparatus of the present invention. A power supply, which includes a driving circuit and

batteries, can power to electrodes of electroluminescent laminate to emit a light. Moreover, the

power supply may be designed for several different power supply modes, such as consecutive

power supply or intermittent power supply, to obtain different effects, such as flicking of the

indicator plate.

Beginning on page 4, lines 26-30 and continuing on page 5, lines 1-9, please amend the

text as follows:

Given the above, [a] the case with a vibration-activated light emitting apparatus can be

obtained by combining a power supply and a main structure, which includes the case, the

indicator plate, the vibration sensor IC, the electroluminescent laminate, and the inverter. The

case can be a package for a variety of goods, such as a DVD [box] case, a watch case, a jewelry

box, and a stationery case. Furthermore, the indicator plate can be mounted on any arbitrary

surfaces of the case, such as the inside or the outside of the case. The image effect may be

exhibited together with a musical effect. Also, the consecutive multicolored flicking of the

electroluminescent laminate may further highlight the patterns of animals or cartoon, logos,

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characters or any other features intended to be shown. The variety of changes derived from similar ideas is included in the present invention.

## **AMENDMENTS TO THE CLAIMS**

1. [A vibration-activated light emitting indication apparatus, which is mounted on a surface of a case to increase the attractiveness of the case, comprising:

an indicator plate, which is transparent and has a pattern;

a power supply;

an electroluminescent laminate, which is situated beneath the indicator plate and can emit a light as powered by the power supply; and

a control unit, connected to the power supply and the electroluminescent laminate, wherein the control unit connects the power supply to the electroluminescent laminate when it senses the vibration of the apparatus.] A case with a vibration-activated light emitting indication apparatus, comprising,

a case having a cover and a container; and

a vibration-activated light emitting indication apparatus mounted on the cover of the case, including:

- (a) an indicator plate, which is transparent and has a pattern;
- (b) a power supply;
- (c) an electroluminescent laminate situated beneath the indicator plate and being capable of emitting a light as powered by the power supply; and
- (d) a control unit for connecting the power supply to the electroluminescent laminate when a vibration of the case is sensed.

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2. The [apparatus] case according to Claim 1, wherein the electroluminescent laminate is a laminate of single color or multi color.

- 3. The [apparatus] <u>case</u> according to Claim 1, wherein the electroluminescent laminate emits the light [at a time] <u>continuously</u> or emits the light intermittently.
- 4. The [apparatus] <u>case</u> according to Claim 1, wherein the control unit further comprises a vibration sensor IC and an inverter.
- 5. The [apparatus] <u>case</u> according to Claim 1, wherein the control unit further comprises a music IC to produce [a] music.
  - 6. The [apparatus] case according to Claim 1, wherein the power supply is a battery.
- 7. The [apparatus] <u>case</u> according to Claim 1, wherein [after] <u>a predetermined</u> <u>number of [plural] clock pulses [when] after the electroluminescent laminate emits the light, the control unit disconnects the power supply from the electroluminescent laminate.</u>
  - 8. The [apparatus] case according to Claim 1, wherein the pattern is a logo.
- 9. The [apparatus] case according to Claim 1, wherein the pattern is a pattern of at least one of an animal or a cartoon character.

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11. The apparatus according to Claim 1, wherein the case is a DVD [box] case or CD

case.

AMENDMENTS TO THE ABSTRACT

The Abstract is amended as follows:

The present invention discloses a case with a vibration-activated light emitting indication

apparatus comprising a case and a light emitting indication apparatus. The light emitting

indication apparatus includes an indicator plate, [: a case or a carrier; an indicator plate,] which is

transparent and contains a pattern, including, for example, a logo, characters, and drawings; an

electroluminescent laminate; a power supply, which powers the electroluminescent laminate; a

vibration sensor IC, which senses the vibration caused by an external act of pressing or shaking

on the [apparatus] case, and then controls an inverter to activate the electroluminescent laminate.

The above-mentioned [apparatus] case can be a container with a cover, [may be mounted on any

case,] such as a DVD [box] case, a watch box, a jewelry box and any other cases. The pattern on

the transparent indicator plate can be highlighted by the light emitted from the

electroluminescent laminate, achieving a vivid image such that the case is elaborately

ornamented.

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 APPLICATION NUMBER
 FILING DATE
 FIRST NAMED APPLICANT
 ATTY. DOCKET NO.

 09/864,151
 05/25/2001
 Shaw-Jong Wang
 06542.0037

**CONFIRMATION NO. 1452** 

22852 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 1300 I STREET, NW WASHINGTON, DC 20005 \*OC00000008401874\*

Title: Vibration-activated light emitting indication apparatus

**Publication No.** US-2002-0085375-A1 **Publication Date:** 07/04/2002

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J. 1562

Date Mailed: 07/05/2002

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

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PATENT Customer No. 22,852 Attorney Docket No. 06542.0037

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	application of:	)		
	Shaw-Jong WANG et al.	)	Group Art Unit:	2875
Applic	eation No.: 09/864,151	)	Examiner:	G. Lee
Filed:	May 25, 2001	)		
For:	CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPARATUS (as amended hereby)	)		
	issioner for Patents ngton, DC 20231			
Sir:				

#### TRANSMITTAL LETTER

Enclosed is a reply to the Office Action of March 25, 2002. The claims are calculated below:

	Claims Remaining After Amendment		Highest Number Previously Paid	Present Extra	Rate	Addition	al Fee
Total	10	-	20	0	x \$ 18	\$	0
Indep.	1	-	3	0	x \$ 84		0
First	Presentation of Multiple	Dep. C	Claim(s)		+\$280		0
					Subtotal	\$	0
			Redi	uction by ½ if sr	nall entity	-	0
					TOTAL	\$	0

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

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1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com Dated: June 25, 2002

By: Mat ( tuble, # 24,014

For Richard V. Burgujian

Reg. No. 31,744



PATENT Customer No. 22,852 Attorney Docket No. 06542.0037

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	Application of:	)		
	Shaw-Jong WANG et al.	)	Group Art Unit:	2875
Applic	cation No.: 09/864,151	)	Examiner:	G. Lee
Filed:	May 25, 2001	)		
For:	CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPARATUS (as amended hereby)	) ) )		
	nissioner for Patents ngton, DC 20231			
Sir:				

#### **AMENDMENT**

In reply to the Office Action dated March 25, 2002, the non-fee period for reply extending through June 25, 2002, please amend the application as follows:

#### **AMENDMENTS TO THE TITLE**

Please replace the title with the following:

-- CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING INDICATION
APPARATUS --

#### **AMENDMENTS TO THE SPECIFICATION**

Please replace the paragraph beginning at page 1, line 5 and extending to page 1, line 8 with the following amended paragraph:

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The present invention relates to a case with a light emitting indication apparatus, and in

particular, to a vibration-activated indication apparatus, which is that located on the surfaces of

packages or any kind of cases and emits light when touched or shaken by a user.

Please replace the paragraph beginning at page 1, line 10 and extending to page 1, line 12

with the following amended paragraph:

Currently, the cases for containing goods can just be beautified with a logo or a figure on

their surfaces or shape. Such superficial beautification of the surface and shape of the case turns

out not quite attractive.

Please replace the paragraph beginning at page 1, line 22 and extending to page 1, line 25

with the following amended paragraph:

An object of the present invention is to provide a case with a vibration-activated light

emitting indication apparatus, which can be activated by vibrations caused by external pressing

or shaking to the case and then shows the patterns on an indicator plate.

Please replace the paragraph beginning at page 1, line 26 and extending to page 2, line 2

with the following amended paragraph:

A further object of the present invention is to provide a case with a vibration-activated

light emitting indication apparatus that can enhance sound and image effects of the decorated

patterns on the surface of a package or any kind of cases.

Please replace the text beginning at page 2, line 3 and extending to page 2, line 14 with

the following amended paragraph:

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To achieve the above purposes, the case with a vibration-activated light emitting indication apparatus of the present invention, comprises a case having a cover and a container; a vibration-activated light emitting indication apparatus, which is mounted on a surface of the case, including; an indicator plate, which is transparent and has a pattern; a power supply; an electroluminescent laminate, which is situated beneath the indicator plate and can emit a light as powered by the power supply; and a control unit, connected to the power supply and the electroluminescent laminate, wherein the control unit connects the power supply to the electroluminescent laminate when it senses the vibrations of the case.

Please replace the text beginning at page 2, line 22 and extending to page 3, line 21 with the following amended text:

### BRIEF DESCRIPTION OF THE FIGURES

The present invention will be described in accordance with the accompanying figures, wherein:

Fig. 1 is a first embodiment of a case with a vibration-activated light emitting indication apparatus of the present invention;

Fig. 2 is a second embodiment of a case with a vibration-activated light emitting indication apparatus of the present invention; and

Fig. 3 is a block diagram of a case with a vibration-activated light emitting indication apparatus of the present invention.

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**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS** 

Fig. 1 shows the first embodiment of the case with a vibration-activated light emitting

indication apparatus in accordance with the present invention. As shown in Fig. 1, the indication

apparatus of the present invention is located on a surface of a DVD case 10, and it can be

activated by the vibration caused by external act of pressing or shaking on the DVD case 10. On

the surface of the DVD case 10, the pattern on an indicator plate 11 can be highlighted by a light

that is emitted from an electroluminescent laminate. The indicator plate 11 may be made out of a

transparent material with patterns intended to be shown thereon. The present invention can also

have a variety of the indicator plates changing the light emitting essences of electroluminescent

laminate, such as single flicking, multiple flicking, flicking frequency, different colors, and so

on.

Fig. 2 shows a second embodiment of the case with a vibration-activated light emitting

indication apparatus in accordance with the present invention. An indicator plate 21 is mounted

on any surfaces on a DVD case 20, if desired.

Please replace the paragraph beginning at page 4, line 3 and extending to page 4, line 9

with the following amended paragraph:

Fig. 3 is a block diagram of the case with a vibration-activated light emitting indication

apparatus of the present invention. A power supply, which includes a driving circuit and

batteries, can power to electrodes of electroluminescent laminate to emit a light. Moreover, the

power supply may be designed for several different power supply modes, such as consecutive

power supply or intermittent power supply, to obtain different effects, such as flicking of the

indicator plate.

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Attorney Docket Number: 06542.0037

Please replace the paragraph beginning at page 4, line 26 and extending to page 4, line 30

with the following amended paragraph:

Given the above, the case with a vibration-activated light emitting apparatus can be

obtained by combining a power supply and a main structure, which includes the case, the

indicator plate, the vibration sensor IC, the electroluminescent laminate, and the inverter. The

case can be a package for a variety of goods, such as a DVD case, a watch case, a jewelry box,

and a stationery case. Furthermore, the indicator plate can be mounted on any arbitrary surfaces

of the case, such as the inside or the outside of the case. The image effect may be exhibited

together with a musical effect. Also, the consecutive multicolored flicking of the

electroluminescent laminate may further highlight the patterns of animals or cartoon, logos,

characters or any other features intended to be shown. The variety of changes derived from

similar ideas is included in the present invention.

**AMENDMENTS TO THE CLAIMS** 

Please cancel claims 10, 12 and 13 without prejudice or disclaimer of the subject matter

thereof.

Please amend claims 1-9 and 11 as follows:

1. (Amended) A case with a vibration-activated light emitting indication apparatus.

comprising,

a case having a cover and a container; and

a vibration-activated light emitting indication apparatus mounted on the cover of the case,

including;

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(a) an indicator plate, which is transparent and has a pattern;

- (b) a power supply;
- (c) an electroluminescent laminate situated beneath the indicator plate and being capable of emitting a light as powered by the power supply; and
- (d) a control unit for connecting the power supply to the electroluminescent laminate when a vibration of the case is sensed.
- 2. (Amended) The case according to Claim 1, wherein the electroluminescent laminate is a laminate of single color or multi color.
- 3. (Amended) The case according to Claim 1, wherein the electroluminescent laminate emits the light continuously or emits the light intermittently.
- 4. (Amended) The case according to Claim 1, wherein the control unit further comprises a vibration sensor IC and an inverter.
- 5. (Amended) The case according to Claim 1, wherein the control unit further comprises a music IC to produce music.
  - 6. (Amended) The case according to Claim 1, wherein the power supply is a battery.

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7. (Amended) The case according to Claim 1, wherein a predetermine number of

clock pulses after the electroluminescent laminate emits the light, the control unit disconnects the

power supply from the electroluminescent laminate.

8. (Amended) The case according to Claim 1, wherein the pattern is a logo.

9. (Amended) The case according to Claim 1, wherein the pattern is a pattern of at

least one of an animal or a cartoon character.

11. (Amended) The apparatus according to Claim 1, wherein the case is a DVD case

or CD case.

AMENDMENTS TO THE ABSTRACT

Please amend the Abstract as follows:

The present invention discloses a case with a vibration-activated light emitting indication

apparatus comprising a case and a light emitting indication apparatus. The light emitting

indication apparatus includes an indicator plate, which is transparent and contains a pattern,

including, for example, a logo, characters, and drawings; an electroluminescent laminate; a

power supply, which powers the electroluminescent laminate; a vibration sensor IC, which

senses the vibration caused by an external act of pressing or shaking on the case, and then

controls an inverter to activate the electroluminescent laminate. The above-mentioned case can

be a container with a cover, such as a DVD case, a watch box, a jewelry box and any other cases.

The pattern on the transparent indicator plate can be highlighted by the light emitted from the

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electroluminescent laminate, achieving a vivid image such that the case is elaborately ornamented.

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REMARKS

In reply to the Office Action dated March 25, 2002, the non-fee period for reply

extending through June 25, 2002, claims 1-9 and 11 have been amended and claims 10, 12, and

13 canceled without prejudice or disclaimer of the subject matter thereof. As a result, claims 1-9

and 11 are currently pending. Please consider the following remarks.

Applicants appreciate the courtesy and diligence of the Examiner in responding to the

Applicants' request for clarification of the status of claims 5 and 7 in the current Office Action.

In a telephone conversation on June 6, 2002, the Examiner indicated that although the detailed

action states that claim 7 was rejected under 35 U.S.C. § 103, the Examiner intended for claim 5

to be rejected under § 103 and for claim 7 to be rejected under § 102. As a result, Applicants

understand the Examiner to have rejected claims 1-4 and 6-13 under 35 U.S.C. § 102(b) as

anticipated by U.S. Patent No. 5,836,671 to Chien ("Chien '671") and claim 5 under 35 U.S.C.

§ 103(a) as unpatentable over Chien '671 in view of U.S. Patent No. 6,082,867 to Chien ("Chien

'867"). Applicants request that the Examiner acknowledge the verbal clarification of the

disposition of claims 5 and 7 in a subsequent Office Action or other written communication so

that the written record of this case is complete. The rejections are respectfully traversed for the

following reasons.

To anticipate claims 1-4, 6-9, and 11 under 35 U.S.C. § 102(b), Chien '671 must disclose

each and every feature recited in those claims. Chien '671, however, fails to disclose, at least, a

case with a vibration-activated light emitting indication apparatus, comprising a case having a

cover and a container; and a vibration-activated light emitting indication apparatus mounted on

the cover of the case, as recited in independent claim 1.

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Chien '671 discloses an electroluminescent light strip attached on a backpack or a

waistpack. Though the Examiner asserts that Chien '671 teaches a "case [that] is a package box,

a DVD box, or bag or a toy case," (Office Action, page 2) the portion cited by the Examiner

(Col. 2, lines 25-31) describe the device as a backpack, waistpack, or "other bag or case designed

to be suspended from the body of the wearer by a strap." (Chien '671, Col. 2, lines 25-31) As

such, Chien '671 fails to disclose, at least, a case having a cover and a container and a

vibration-activated light emitting indication apparatus mounted on the cover of the case as

recited by independent claim 1. Therefore, because Chien '671 fails to disclose at least these

features of independent claim 1, the § 102(b) rejection of that claim should be withdrawn and the

claim allowed. Moreover, the rejection of claims 2-4, 6-9, and 11 are allowable at least by virtue

of their dependence on allowable independent claim 1.

The Examiner rejected claim 5 under 35 U.S.C. § 103(a) as being unpatentable over

Chien '671 in view of Chien '867. This rejection is respectfully traversed, because a prima facie

case of obviousness has not been made by the Examiner.

To establish a prima facie case of obviousness under 35 U.S.C. § 103(a), each of three

requirements must be met. First, the reference or references, taken alone or in combination, must

teach or suggest each and every element recited in the claims. See M.P.E.P. § 2143.03 (8th ed.

2001). Second, there must be some suggestion or motivation, either in the references themselves

or in the knowledge generally available to one of ordinary skill in the art, to combine the

references in a manner resulting in the claimed invention. Third, a reasonable expectation of

success must exist. Moreover, each of these requirements must "be found in the prior art, and

not be based on applicant's disclosure." M.P.E.P. § 2143 (8th ed. 2001).

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In this case, though the Examiner acknowledges that Chien '671 does not disclose a

music IC to produce music, as recited in claim 5, the Examiner alleges that Chien '867 both

teaches a music IC to produce music and provides motivation to combine it with the teachings of

Chien '671. In doing so, the Examiner relies on the statement in Chien '867 that "[t]he changing

color features of the three-dimensional electro-luminescent lighting element can be utilized in

this application by making the color change responsive to sound, hear [sic], humidity, pressure or

weight, music, or any other desired condition." (Chien '867, Col. 3, lines 40-45)

Chien '867, including the passage cited by the Examiner, fails to teach or suggest at least

a music IC to produce music as recited by claim 5. The passage cited by the Examiner describes

the possibility that the device can be responsive to sound. In no way does being responsive to

sound teach or suggest a music IC to produce sound as recited in claim 5. Therefore, the

combination of Chien '671 and Chien '867 fails to teach or suggest each and every element

recited by claim 5. As a result, the rejection of claim 5 should be withdrawn and the claim

allowed.

The amendments to the title, specification, claims, and abstract have been made to clarify

certain aspects of the invention. All find support in the original specification. For example, page

2, line 15 of the specification as filed states that the vibration-activated light emitting indication

apparatus "can be mounted on any type of cases." (Spec., Page 2, line 15) As a result, this

amendment adds no new matter.

In view of the foregoing, Applicant respectfully requests the reconsideration and

reexamination of this application and the timely allowance of the pending claims.

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Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: June 25, 2002

Richard V. Burgujian

Reg. No. 31,744

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Serial Number: 09/864,151 Attornev Docket Number: 06542,0037

#### **ABSTRACT**

The present invention discloses a case with a vibration-activated light emitting indication apparatus comprising a case and a light emitting indication apparatus. The light emitting indication apparatus includes an indicator plate, which is transparent and contains a pattern, including, for example, a logo, characters, and drawings; an electroluminescent laminate; a power supply, which powers the electroluminescent laminate; a vibration sensor IC, which senses the vibration caused by an external act of pressing or shaking on the case, and then controls an inverter to activate the electroluminescent laminate. The above-mentioned case can be a container with a cover, such as a DVD case, a watch box, a jewelry box and any other cases. The pattern on the transparent indicator plate can be highlighted by the light emitted from the electroluminescent laminate, achieving a vivid image such that the case is elaborately ornamented.

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Serial Number: 09/864,151 Attorney Docket Number: 06542.0037

# APPENDIX TO AMENDMENT VERSION WITH MARKINGS TO SHOW CHANGES MADE

### AMENDMENTS TO THE TITLE

The title is amended as follows:

CASE WITH VIBRATION-ACTIVATED LIGHT EMITTING INDICATION

APPARATUS[VIBRATION ACTIVATED LIGHT EMITTING INDICATION APPARATUS]

# **AMENDMENTS TO THE SPECIFICATION**

On page 1, lines 5-8 are amended as follows:

The present invention relates to a <u>case with a</u> light emitting indication apparatus, and in particular, to a vibration-activated indication apparatus, which is that located on the surfaces of packages or any kind of cases and emits light <u>when</u> [as being] touched or [shacked] <u>shaken</u> by a user.

On page 1, lines 10-12 are amended as follows:

Currently, the cases for containing goods can just be beautified with a logo or a figure on their surfaces or shape [on their surface and shape or with logo]. Such superficial beautification of the surface and shape of the case turns out not quite attractive.

On page 1, lines 22-25 are amended as follows:

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An object of the present invention is to provide a case with a vibration-activated light

emitting indication apparatus, which can be activated by vibrations caused by external pressing

or shaking to the [apparatus] case and then shows the patterns on an indicator plate.

On page 1, lines 26-27 and continuing on page 2, lines 1-2, lines are amended as follows:

A further object of the present invention is to provide a case with a vibration-activated

light emitting indication apparatus that can enhance sound and image effects of the decorated

patterns on the surface of a package or any kind of cases.

On page 2, lines 3-14 are amended as follows:

To achieve the above purposes, the case with a vibration-activated light emitting

indication apparatus of the present invention, [which is mounted on a case,] comprises[:] a case

having a cover and a container; a vibration-activated light emitting indication apparatus, which is

mounted on a surface of the case, including; an indicator plate, which is transparent and has a

pattern [thereon]; a power supply; an electroluminescent laminate, which is situated beneath the

indicator plate and can emit a light as powered by the power supply; and a control unit,

connected to the power supply and the electroluminescent laminate, wherein the control unit

connects the power supply to the electroluminescent laminate when it senses the [vibration

caused by external pressing or shaking on the vibration-activated light emitting indication

apparatus] vibrations of the case.

On page 2, lines 23-26 are amended as follows:

BRIEF DESCRIPTION OF THE [PATTERNS] FIGURES

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The present invention will be described in [accidence] accordance with the

accompanying figures, wherein:

Fig. 1 is a first embodiment of [the] a case with a vibration-activated light emitting

indication apparatus of the present invention;

On page 3, lines 1-21 are amended as follows:

Fig. 2 is a second embodiment of [the] a case with a vibration-activated light emitting

indication apparatus of the present invention; and

Fig. 3 is a block diagram of [the] a case with a vibration-activated light emitting

indication apparatus of the present invention.

**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS** 

Fig. 1 shows the first embodiment of the case with a vibration-activated light emitting

indication apparatus in accordance with the present invention. As shown in Fig. 1, the indication

apparatus of the present invention is located on a surface of a DVD [box 10] case 10, and it can

be activated by the vibration caused by external act of pressing or shaking on the DVD [box 10]

case 10. On the surface of the DVD [box 10] case 10, the pattern on an indicator plate 11 can be

highlighted by a light that is emitted from an electroluminescent laminate. The indicator plate 11

may be made out of a transparent material with patterns intended to be shown thereon. The

present invention can also have a variety of the indicator plates changing the light emitting

essences of electroluminescent laminate, such as single flicking, multiple flicking, flicking

frequency, different colors, and so on.

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Fig. 2 shows a second embodiment of the case with a vibration-activated light emitting

indication apparatus in accordance with the present invention. An indicator plate 21 is mounted

on any surfaces on a DVD [box 20] case 20, if desired.

On page 4, lines 3-9 are amended as follows:

Fig. 3 is a block diagram of the case with a vibration-activated light emitting indication

apparatus of the present invention. A power supply, which includes a driving circuit and

batteries, can power to electrodes of electroluminescent laminate to emit a light. Moreover, the

power supply may be designed for several different power supply modes, such as consecutive

power supply or intermittent power supply, to obtain different effects, such as flicking of the

indicator plate.

Beginning on page 4, lines 26-30 and continuing on page 5, lines 1-9, please amend the

text as follows:

Given the above, [a] the case with a vibration-activated light emitting apparatus can be

obtained by combining a power supply and a main structure, which includes the case, the

indicator plate, the vibration sensor IC, the electroluminescent laminate, and the inverter. The

case can be a package for a variety of goods, such as a DVD [box] case, a watch case, a jewelry

box, and a stationery case. Furthermore, the indicator plate can be mounted on any arbitrary

surfaces of the case, such as the inside or the outside of the case. The image effect may be

exhibited together with a musical effect. Also, the consecutive multicolored flicking of the

electroluminescent laminate may further highlight the patterns of animals or cartoon, logos,

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Attorney Docket Number: 06542.0037

characters or any other features intended to be shown. The variety of changes derived from similar ideas is included in the present invention.

#### **AMENDMENTS TO THE CLAIMS**

1. [A vibration-activated light emitting indication apparatus, which is mounted on a surface of a case to increase the attractiveness of the case, comprising:

an indicator plate, which is transparent and has a pattern;

a power supply;

an electroluminescent laminate, which is situated beneath the indicator plate and can emit a light as powered by the power supply; and

a control unit, connected to the power supply and the electroluminescent laminate, wherein the control unit connects the power supply to the electroluminescent laminate when it senses the vibration of the apparatus.] A case with a vibration-activated light emitting indication apparatus, comprising,

a case having a cover and a container; and

a vibration-activated light emitting indication apparatus mounted on the cover of the case, including;

- (a) an indicator plate, which is transparent and has a pattern;
- (b) a power supply;
- (c) an electroluminescent laminate situated beneath the indicator plate and being capable of emitting a light as powered by the power supply; and
- (d) a control unit for connecting the power supply to the electroluminescent laminate when a vibration of the case is sensed.

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Serial Number: 09/864,151 Attorney Docket Number: 06542,0037

2. The [apparatus] <u>case</u> according to Claim 1, wherein the electroluminescent laminate is a laminate of single color or multi color.

3. The [apparatus] <u>case</u> according to Claim 1, wherein the electroluminescent laminate emits the light [at a time] <u>continuously</u> or emits the light intermittently.

4. The [apparatus] <u>case</u> according to Claim 1, wherein the control unit further comprises a vibration sensor IC and an inverter.

5. The [apparatus] <u>case</u> according to Claim 1, wherein the control unit further comprises a music IC to produce [a] music.

- 6. The [apparatus] case according to Claim 1, wherein the power supply is a battery.
- 7. The [apparatus] <u>case</u> according to Claim 1, wherein [after] <u>a predetermined</u> <u>number of [plural] clock pulses [when] after the electroluminescent laminate emits the light, the control unit disconnects the power supply from the electroluminescent laminate.</u>
  - 8. The [apparatus] case according to Claim 1, wherein the pattern is a logo.
- 9. The [apparatus] case according to Claim 1, wherein the pattern is a pattern of at least one of an animal or a cartoon character.

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11. The apparatus according to Claim 1, wherein the case is a DVD [box] case or CD

case.

AMENDMENTS TO THE ABSTRACT

The Abstract is amended as follows:

The present invention discloses a case with a vibration-activated light emitting indication

apparatus comprising a case and a light emitting indication apparatus. The light emitting

indication apparatus includes an indicator plate, [: a case or a carrier; an indicator plate,] which is

transparent and contains a pattern, including, for example, a logo, characters, and drawings; an

electroluminescent laminate; a power supply, which powers the electroluminescent laminate; a

vibration sensor IC, which senses the vibration caused by an external act of pressing or shaking

on the [apparatus] case, and then controls an inverter to activate the electroluminescent laminate.

The above-mentioned [apparatus] case can be a container with a cover, [may be mounted on any

case,] such as a DVD [box] case, a watch box, a jewelry box and any other cases. The pattern on

the transparent indicator plate can be highlighted by the light emitted from the

electroluminescent laminate, achieving a vivid image such that the case is elaborately

ornamented.

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

<u></u>	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
	09/864,151	05/25/2001	Shaw-Jong Wang	06542.0037	1452
		7590 03/25/2002			
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1300 I STREET, NW WASHINGTON, DC 20005

ART UNIT PAPER NUMBER 2875

DATE MAILED: 03/25/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

FIGEIVED

MAR 2 8 2002

FRANCIAN, HENDERSON, FALKEUZ, GARRETT & DUNNER, LLP.

Docketod_	3-78-67 Attorney_	RVB
Case 6	542.007	
Due Date	6.25.02 m/Ex-	
	RESPONSE	
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	Application No.	Applicant(s)	
	09/864,151	WANG ET AL.	
Office Action Summary	Examiner	Art Unit	
	Guiyoung Lee	2875	
The MAILING DATE of this communication	n appears on the cover	sheet with the correspondence a	address
Period for Reply	TOLVIC SET TO EVO	IDE 2 MONTH(S) EDOM	
A SHORTENED STATUTORY PERIOD FOR R	ON.		
Extensions of time may be available under the provisions of 37 Cafter SIX (6) MONTHS from the mailing date of this communication	on		
If the period for reply specified above is less than thirty (30) days if NO period for reply is specified above, the maximum statutory Failure to reply within the set or extended period for reply will; by	period will apply and will expire S	num of thirty (30) days will be considered tim  IX (6) MONTHS from the mailing date of this	communication.
Any reply within the set of extended period for reply will, by Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	mailing date of this communicati	on, even if timely filed, may reduce any	
Ap Status			
1) Responsive to communication(s) filed or	n		
2a) This action is <b>FINAL</b> . 2b)	This action is non-fin	al.	
3) Since this application is in condition for a			the merits is
closed in accordance with the practice u  Disposition of Claims	nder Ex parte Quayle,	1935 C.D. 11, 453 O.G. 213.	
4)⊠ Claim(s) <u>1-13</u> is/are pending in the applic	cation.		
4a) Of the above claim(s) is/are with		tion.	
5) Claim(s) is/are allowed.		: • "	
6)⊠ Claim(s) <u>1-13</u> is/are rejected.			
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction a	and/or election requiren	nent.	
Application Papers			
9) The specification is objected to by the Exa			
10) The drawing(s) filed on is/are: a)	accepted or b) objecte	d to by the Examiner.	
Applicant may not request that any objection	<del>-</del> ' '	-	
11) The proposed drawing correction filed on			iner.
If approved, corrected drawings are required 12) The oath or declaration is objected to by the		on.	
Priority under 35 U.S.C. §§ 119 and 120	ile Examiner.		
13) Acknowledgment is made of a claim for fo	oreian priority under 35	U.S.C. & 119(a)-(d) or (f)	
a) ☐ All b) ☐ Some * c) ☐ None of:	oreign priority ander oo	0.0.0. 3 1 10(4) (4) 01 (1).	
1. Certified copies of the priority docu	iments have been recei	ved.	v
2. Certified copies of the priority docu	•		
3. Copies of the certified copies of the			al Stage
application from the Internation	ial Bureau (PCT Rule 1	7.2(a)).	<b>3</b> -
* See the attached detailed Office action for			
14) Acknowledgment is made of a claim for do			iai application).
a) The translation of the foreign language 15) Acknowledgment is made of a claim for do			
Attachment(s)		<b>V</b> V	
1) Notice of References Cited (PTO-892)		Interview Summary (PTO-413) Paper I	
2) Notice of Draftsperson's Patent Drawing Review (PTO-943) Information Disclosure Statement(s) (PTO-1449) Paper N	_	Notice of Informal Patent Application (I Other:	PTO-152)
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U.S. Patent and Trademark Office PTO-326 (Rev. 04-01)

#### **DETAILED ACTION**

#### Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-13 are rejected under 35 U.S.C. 102(b) as being anticipated by Chien (USPT 5,836,671).

Re claim 1: Chien'671 teaches a vibration-activated light emitting indication apparatus having,

- an indicator plate, which is transparent and has a pattern (Fig. 6);
- a power supply (303);
- an electroluminescent laminate (602), which is situated beneath the indictor plate;
- a control unit (403), connected to the power supply and the electroluminescent laminate.

Re claim 2: Chien'671 discloses a multi color electroluminescent laminate (col. 3, lines – 16).

Re claims 3 and 7: Chien'671 teaches that the electroluminescent laminate emits the light at a time or emits the light intermittently (col. 3, lines 19-20).

Re claims 4-5: Chien'671 discloses a vibration sensor IC (col. 4, lines 13-15), an inverter.

Re claim6: Chien'671 teaches a battery.

Re claims 8-9: Chien'671 teaches that the pattern is a logo, animal or cartoon character (col. 3, lines 18-20).

Re claims 10-13; Chien'671 teaches that the case is a package box, a DVD box, or bag or a toy case (col. 25-31).

# Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Chien'671 as applied to claim1 above, and further in view of Chien (USPT 6,082,867).

Re claim 7: Considering the limitations of claim 7 that is a control unit having a music IC, although Chien'671 does not disclose a music IC, Chien'867 teaches and motivates the use of a music IC, saying that "The changing color features of the three-dimensional electro-luminescent lighting element can be utilized in this application by making the color change responsive to sound, hear, humidity, pressure or weight, music, or any other desired condition (col. 3, lines 40-45)."

#### Conclusion

1. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

Burrows (USPT 6,271,631) discloses an EL lamp having a logo.

Chien (USPT 5,833,508) shows a multi-color illuminated panel having a decorative sheet.

2. Any inquiry concerning this communication or earlier communications from the examiner should be directed to *Guiyoung Lee* whose telephone number is (703) 308-8567. The examiner can normally be reached between the hours of 5:30AM to 2:00PM Monday thru Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sandra O'Shea, can be reached on (703) 305-4939. The fax phone number for this Group is (703)308-7722, (703)308-7724, or (703)308-7382.

Communications via Internet e-mail regarding this application, other than those under 35 U.S.C. 132 or which otherwise require a signature, may be used by the applicant and should be addressed to [Guiyoung.lee@uspto.gov].

All Internet e-mail communications will be made of record in the application file. PTO employees do not engage in Internet communications where there exists a possibility that sensitive information could be identified or exchanged unless the record includes a properly signed express waiver of the confidentiality requirements of 35 U.S.C. 122. This is more clearly set forth in the Interim Internet Usage Policy published in the Official Gazette of the Patent and Trademark on February 25, 1997 at 1195 OG 89.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0956.

GYL

GAU2875

March/19/2002

Stephen F. Husar Stephen Husar Primary Examiner

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mp 1 . E	Guiyoung Lee	2875	Page 1 of 1	
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Notice of References Cited	09/864,151	WANG ET A		
DE	Application/Control No.	Applicant(s)/	Patent Under	
	<del></del>			

		U.S. PATENT DOCUMENTS			40	
sification	Classification	Name	Date MM-YYYY	Document Number Country Code-Number-Kind Code	THADEN	& TI
2/103	362/103	Chien, Tseng Lu	11-1998	US-5,836,671	Α	
45/24	445/24	Chien, Tseng-Lu	11-1998	US-5,833,508	В	
3/512	313/512	Chien, Tseng-Lu	07-2000	US-6,082,867	С	
3/511	313/511	Burrows, Kenneth	08-2001	US-6,271,631	D	
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#### FOREIGN PATENT DOCUMENTS

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#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)	_
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A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



Customer Number 22,852 Attorney Docket No. 06542.0037

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:	Shaw-Jong WANG et al.	
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Serial No.: 09/864,151 ) Group Art Unit: 1734

Filed: May 25, 2001

For: VIBRATION-ACTIVATED LIGHT

**EMITTING INDICATION** 

**APPARATUS** 

Assistant Commissioner for Patents Washington, DC 20231

Sir:

# **CLAIM FOR PRIORITY**

Under the provisions of Section 119 of 35 U.S.C., applicants hereby claim the benefit of the filing date of Republic of China Utility Model Application No. 089222763, filed December 29, 2000, for the above-identified United States Patent Application.

In support of applicants' claim for priority, filed herewith is one certified copy of the above.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: March 4, 2002

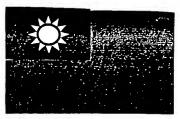
Richard V. Burgujian

Reg. No. 31,744

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HENDERSON

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# 中華民國經濟部智慧財產局

INTELLECTUAL PROPERTY OFFICE MINISTRY OF ECONOMIC AFFAIRS REPUBLIC OF CHINA

茲證明所附文件,係本局存檔中原申請案的副本,正確無訛, 其申請資料如下:

This is to certify that annexed is a true copy from the records of this office of the application as originally filed which is identified here.

申 請 日: 西元 2000 年 12 月 29 日

Application Date

申 請 案 號: 089222763

Application No.

申 請 人: 錸德科技股份有限公司

Applicant(s)

局 Director General

陳明那

發文日期: 西元 2001 年 8

Issue Date

發文字號: Serial No.

09011012





UNITED STALLS DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER

OF PATENTS AND TRADEMARKS Washington, D.C. 20231

AUGUST 11, 2001

FINNEGAN HENDERSON FARABOW GARRET DUNNER, LLP - MR. ERNEST F. CHAPMAN

1300 I STREET, N.W.

AUG 1 4 2001

CWASHINGTON, DC 20005-3315

FINNEGAN, HENDERSON FARABOW, GARRETT & DUNNER, L.L.P.

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ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY . CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/25/2001

REEL/FRAME: 011840/0854

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WANG, SHAW-JONG

DOC DATE: 05/14/2001

ASSIGNOR:

SHEN, JOSEPH

DOC DATE: 05/14/2001

AUG 7.5 2001

ASSIGNOR:

WU, CHIEN-HUA

DOC DATE: 05/14/2001

ASSIGNOR:

YU, CHAREN

DOC DATE: 05/15/2001

ASSIGNEE:

RITEK CORPORATION NO. 42, KUANGFU N. RD. HSINCHU INDUSTRY DISTRICT HU KO, HSINCHU HSIEN, TAIWAN R.O.C.

SERIAL NUMBER: 09864151

PATENT NUMBER:

FILING DATE: 05/25/2001

ISSUE DATE:

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MARCUS KIRK, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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FORM PTO-1594 1-31-92



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U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 06542,0037 CUSTOMER NUMBER: 22,852

	CUSTOMER NUMBER: 22,852			
To the Hohorable Commissioner of Pat Please record the attached original documents of 10173506				
1. Name of conveying party(ies):				
1. Shaw-Jong WANG 2. Joseph SHEN 3. Chien-Hua WU 4. Charen YU	Name: RITEK CORPORATION			
Additional name(s) of conveying party(ies) attached? □ Yes ⊠ No				
3. Nature of conveyance:	Internal Address:  Street Address:  No. 42, Kuangfu N. Rd., Hsinchu Industry District, Hu Ko, Hsinchu Hsien, Taiwan, R.O.C.			
■ Assignment □ Merger	City:			
Security	State: Zip Code:			
Agreement   Change of Name				
Other	Additional name(s) & Address(es) attached?  □ Yes ⊠ No			
Execution Date: 1 3. May 14, 2001; 4. May 15, 2001	Tes & No			
Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: May 14, 2001 and May 15, 2001  A. Patent Application Number(s):  5/29/2001 JADD01 00000019 09864151  2 FC:581  40.00 0F  Additional numbers attached? □ Yes ☑ No				
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and registrations involved:			
Name: Mr. Ernest F. Chapman	7. Total fee (37 CFR 3.41): \$40			
nternal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.	Enclosed (Please charge deficiency to deposit account)			
	Authorized to be charged to deposit account			
Street Address: 1300 I Street, N.W.				
City: Washington	8. Deposit account number: 06-0916			
State: DC Zip: 20005-3315	8. Deposit account number: <u>06-0916</u>			
Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.				
Richard V. Burgujian	May 25, 2001			
Reg. No. 31,744 \$igna	Date			
Total number of pages including cover sheet, attachments and documents: 3				

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SOLE/JOINT INVENTION (U.S. Rights Only)

# ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referr have made an invention entitled:	
have made an invention entitled:	ed to as Assignor(s)1
VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPROPRIE	
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herewith or on or filed an application for United States Letters Pate  Patent on or filed an application for United States Letters Pate  Or filed All Pate  Or file	ent concurrently
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a corporation of Taiwan, R.O.C. address is No. 42, Kuangfu N. Rd., Hsinchu Industry District, Hu Ko, Hsinchu Hsien, (hereinafter referred to as Assignee), is desirous of	Taiwan, R.O.C
interest in and to this immediately a desirous of securing the en	tire right
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NOW THEREFORE have	
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of the United States to issue all Letters Patent for this invention to cessors and assigns, in accordance with the terms of this Assignment;	assignee, its suc-
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AND, I/WE HEREBY further covenant and agree that I/We will, with	Out for the
me/us respecting this in	55. E
when called upon to do so, execute and deliver all papers that may be a desirable to perfect the title to this invention in said assigns.	ign all lawful name.
desirable to perfect the title to this invention in said assignee, its oaths and generally desirable to continuation, and reissue application.	necessary or
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by the assignee, its successors and assigns.	pers shall be borns
AND, I/WE HEREBY authorize and request the attorneys I/we have em	
Declaration and Power of Attorney in this application, to insert here i	powered in the
application number of said application when known.	n parentheses
	and
IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).  1. Full Name of Sole or First Assigner.	٠
dew Jong Wang Assignor's Signature	
Address Daw Ward	: Date : \$14/2001
4F11, No. 22, Lane 376, Sec. 1, Kuang-Fu Rd., Hsinchu, Taiwan, R.O.C.	: Citizenship R.O.C.
2. Full Name of Second Assignor : Assignor's Signature	
Address	: Date
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: Date

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WASHINGTON, D.C. 2023! VOD.otqeu.www

 APPLICATION NUMBER
 FILING DATE
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 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

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FILING

FILING RECEIPT

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 1300 I Street, N.W. Washington, DC 20005-3315

JUL 25 COM

Date Mailed: 07/24/2001

**CONFIRMATION NO. 1452** 

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shaw-Jong Wang, Hsinchu, TAIWAN; Joseph Shen, Taipei, TAIWAN; Chien-Hua Wu, Miao Li Hsien, TAIWAN; Charen Yu, Taipei, TAIWAN;

**Assignment For Published Patent Application** 

Ritek Corporation;

JUL 2 5 2001

Domestic Priority data as claimed by applicant

Foreign Applications

TAIWAN 89222763 12/29/2000

If Required, Foreign Filing License Granted 07/24/2001

Projected Publication Date: 07/04/2002

Non-Publication Request: No

Early Publication Request: No

Title



### Vibration-activated light emitting indication apparatus

**Preliminary Class** 

156

Data entry by : MARMOL, MARIA

Team : OIPE

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## FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L. L. P. 1300 I STREET, N. W.

WASHINGTON, DC 2005-3315

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May 25, 2001

ATTORNEY DOCKET NO.: 06542.0037 CUSTOMER NUMBER: 22,852

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

New U.S. Patent Application

Title: VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPARATUS

Inventors: Shaw-Jong WANG; Joseph SHEN; Chien-Hua WU; and Charen YU

Sir:

We enclose the following papers for filing in the United States Patent and Trademark Office in connection with the above patent application.

- 1. Application 8 pages, including 1 independent claim and 13 claims total.
- 2. Drawings 2 sheets of formal drawings containing 3 figures.
- 3. Declaration and Power of Attorney.
- 4. Recordation Form Cover Sheet and Assignment to Ritek Corporation.
- 5. The filing fee is calculated as follows:

### FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L. L. P.

Assistant Commissioner of Patents May 25, 2001 Page 2

					\$710	\$	710.00
Basic Application Filing Fee	Number of Claims		Basic	Extra Claims	·		
Total Claims	13	-	20	0	x \$18		
Independent Claims	1	-	3	0	x \$80		
[ ] Presentation of Multiple Dep. Claim(s) +\$270							
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6. A check for \$750.00 is enclosed. The fee includes:

\$710.00 filing fee; and \$40.00 Assignment recordation fee.

Applicants claim the right to priority based on R.O.C. Application No. 89222763, filed December 29, 2000.

Please address all correspondence with respect to this application to:

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 1300 I Street, N.W. Washington, D.C. 20005-3315

Please accord this application a serial number and filing date and record and return the Assignment to the undersigned.

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L. L. P.

Assistant Commissioner of Patents May 25, 2001 Page 3

The Commissioner is hereby authorized to charge any additional filing fees due and any other fees due under 37 C.F.R. § 1.16 or § 1.17 during the pendency of this application to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

By:

Richard V. Burgujian

Reg. No. 31,744

RVB/FPD/peg Enclosures FORM PTO-1594 1-31-92

## RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 06542.0037 CUSTOMER NUMBER: 22,852

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	ATTN. BOX ASSIGNMENTS
Name of conveying party(ies):	Name and address of receiving party(ies):
<ol> <li>Shaw-Jong WANG</li> <li>Joseph SHEN</li> <li>Chien-Hua WU</li> <li>Charen YU</li> </ol>	
,	Name: RITEK CORPORATION
Additional name(s) of conveying party(ies) attached? □ Yes   No	Internal Address:
Nature conveyance:	Street Address:  No. 42, Kuangfu N. Rd., Hsinchu Industry District, Hu Ko, Hsinchu Hsien, Taiwan, R.O.C.  City:
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Execution Date: 1 3. May 14, 2001; 4. May 15, 2001	
<ol> <li>Application number(s) or patent number(s): If this document is be application: May 14, 2001 and May 15, 2001</li> </ol>	eing filed together with a new application, the execution date of the
A. Patent Application Number(s):	B. Patent Number(s):
Additional numbers attac	ı hed? □ Yes ৷ No
<ol> <li>Name and address of party to whom correspondence concerning document should be mailed:</li> </ol>	Total number of applications and registrations involved:     1
Name: Mr. Ernest F. Chapman	7. Total fee (37 CFR 3.41): \$40
FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.	Enclosed (Please charge deficiency to deposit account)
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Street Address: 1300 I Street, N.W.	·
City: Washington	8. Deposit account number: 06-0916
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Richard V. Burgujian	May 25, 2001
Reg. No. 31,744 Signa	
Total number of pages including cover sheet,	attachments and documents: 3

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## VIBRATION-ACTIVATED LIGHT EMITTING INDICATION <u>APPARATUS</u>

#### **BACKGROUND OF THE INVENTION**

#### FIELD OF THE INVENTION

The present invention relates to a light emitting indication apparatus, and in particular, to a vibration-activated indication apparatus, which is that located on the surfaces of packages or any kind of cases and emits light as being touched or shacked by a user.

#### DESCRIPTION OF PRIOR ART

Currently, the cases for containing goods can just be beautified on their surface and shape or with logo. Such superficial beautification of the surface and shape of the case turns out not quite attractive.

Moreover, as logo or advertisement on the surface of case for goods is dull, it is very difficult to make a strong impression on the consumers and attract them to purchase the goods. By combining technology of electroluminescent laminate with image and sound effects to lively show logo, pattern and character on a flat plane, the cases for goods can not only become vivid but also stimulate the customers' will to purchase the goods. Thus, the brand of the goods is very impressive and gains a better reputation.

#### **SUMMARY OF THE INVENTION**

An object of the present invention is to provide a vibration-activated light emitting indication apparatus, which can be activated by vibrations caused by external pressing or shaking to the apparatus and then shows the patterns on an indicator plate.

A further object of the present invention is to provide a vibration-activated light emitting indication apparatus that can enhance

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sound and image effects of the decorated patterns on the surface of a package or any kind of cases.

To achieve the above purposes, the vibration-activated light emitting indication apparatus of the present invention, which is mounted on a case, comprises:

an indicator plate, which is transparent and has a pattern thereon; a power supply;

an electroluminescent laminate, which is situated beneath the indicator plate and can emit a light as powered by the power supply; and

a control unit, connected to the power supply and the electroluminescent laminate, wherein the control unit connects the power supply to the electroluminescent laminate when it senses the vibration caused by external pressing or shaking on the vibration-activated light emitting indication apparatus.

The apparatus described above can be mounted on any kind of cases, such as a DVD case, a bag, a jewelry box, a toy box, etc. According to the present invention, a vibration sensor IC activates the electroluminescent laminate by sensing the external environment. The light emitted from the electroluminescent laminate passes through the transparent indicator plate, highlighting the pattern on the indicator plate. Thus, the goods are elaborately beautified.

#### BRIEF DESCRIPTION OF THE PATTERNS

The present invention will be described in accidence with the accompanying figures, wherein:

Fig. 1 is a first embodiment of the vibration-activated light emitting indication apparatus of the present invention;

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Fig. 2 is a second embodiment of the vibration-activated light emitting indication apparatus of the present invention; and

Fig. 3 is a block diagram of the vibration-activated light emitting indication apparatus of the present invention.

### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

Fig. 1 shows the first embodiment of the vibration-activated light emitting indication apparatus in accordance with the present invention. As shown in Fig. 1, the apparatus of the present invention is located on a surface of a DVD box 10, and it can be activated by the vibration caused by external act of pressing or shaking on the DVD box 10. On the surface of the DVD box 10, the pattern on an indicator plate 11 can be highlighted by a light that is emitted from an electroluminescent laminate. The indicator plate 11 may be made out of a transparent material with patterns intended to be shown thereon. The present invention can also have a variety of the indicator plates changing the light emitting essences of electroluminescent laminate, such as single flicking, multiple flicking, flicking frequency, different colors, and so on.

Fig. 2 shows a second embodiment of the vibration-activated light emitting indication apparatus in accordance with the present invention. An indicator plate 21 is mounted on any surfaces on a DVD box 20, if desired.

As shown in Fig. 2, a vibration sensor IC and a power supply 22 are always placed inside the box 20. A converter in the vibration sensor IC is to control the electroluminescent laminate 31. The vibration sensor IC can sense the vibration of the box 20 caused by external act of pressing or shaking and thereby activates the electroluminescent laminate 31. According to another embodiment, a music IC can also be connected to the vibration sensor IC so that the box 20 can further sound a music in addition to the vivid image formed by the electroluminescent laminates 31. The

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power supply 22 is provided with D.C. batteries to supply power to the apparatus.

Fig. 3 is a block diagram of the vibration-activated light emitting indication apparatus of the present invention. A power supply, which includes a driving circuit and batteries, can power to electrodes of electroluminescent laminate to emit a light. Moreover, the power supply may be designed for several different power supply modes, such as consecutive power supply or intermittent power supply, to obtain different effects, such as flicking of the indicator plate.

The control unit 32 contains a vibration sensor IC and an inverter which is used as a switch to activate the electroluminescent laminate 31. After sensing vibration caused by external act of vibration of the case, the inverted switches to an "on" state to activate the electroluminescent laminate 31. If a musical effect is needed, the music IC can be connected to control the musical effect when the vibration sensor IC is activated. After plural clock pulses after the electroluminescent laminate is activated, the control unit 32 disconnects the power supply from the electroluminescent laminate 31.

The electroluminescent laminate 31 essentially consists of a lamination of a transparent substrate, a transparent electrode layer, a fluorescent layer, and a back electrode layer. When the transparent electrode layer and the back electrode layer are biased by a potential, the fluorescent layer emits a light and the light will pass through the transparent substrate. The electroluminescent laminate may be designed in accordance with the need of the indicator plate. For example, the transparent substrate may be a multicolored layer to enrich the color effect.

Given the above, a vibration-activated light emitting indication apparatus can be obtained by combining a power supply and a main structure, which includes the case, the indicator plate, the vibration sensor IC, the electroluminescent laminate, and the inverter. The case can be a

package for a variety of goods, such as a DVD box, a watch case, a jewelry box, and a stationery case. Furthermore, the indicator plate can be mounted on any arbitrary surfaces of the case, such as the inside or the outside of the case. The image effect may be exhibited together with a musical effect. Also, the consecutive multicolored flicking of the electroluminescent laminate may further highlight the patterns of animals or cartoon, logos, characters or any other features intended to be shown. The variety of changes derived from similar ideas is included in the present invention.

Although the present invention has been disclosed in terms of preferred embodiments, the disclosure is not intended to limit the present invention. The present invention still can be modified, varied by persons skilled in the art without departing firm the scope and spirit of the present invention which are determined by the claims below.

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#### WHAT IS CLAIMED IS

1. A vibration-activated light emitting indication apparatus, which is mounted on a surface of a case to increase the attractiveness of the case, comprising:

an indicator plate, which is transparent and has a pattern;

a power supply;

an electroluminescent laminate, which is situated beneath the indicator plate and can emit a light as powered by the power supply; and

- a control unit, connected to the power supply and the electroluminescent laminate, wherein the control unit connects the power supply to the electroluminescent laminate when it senses the vibration of the apparatus.
- 2. The apparatus according to Claim 1, wherein the electroluminescent laminate is a laminate of single color or multi color.
- 3. The apparatus according to Claim 1, wherein the electroluminescent laminate emits the light at a time or emits the light intermittently.
  - 4. The apparatus according to Claim 1, wherein the control unit further comprises a vibration sensor IC and an inverter.
  - 5. The apparatus according to Claim 1, wherein the control unit further comprises a music IC to produce a music.
    - 6. The apparatus according to Claim 1, wherein the power supply is a battery.
- 7. The apparatus according to Claim 1, wherein after plural clock pulses when the electroluminescent laminate emits the light, the

control unit disconnects the power supply from the electroluminescent laminate.

- 8. The apparatus according to Claim 1, wherein the pattern is a logo.
- 5 9. The apparatus according to Claim 1, wherein the pattern is a pattern of animal or cartoon character.
  - 10. The apparatus according to Claim 1, wherein the case is a package box.
- 11. The apparatus according to Claim 1, wherein the case is a DVD box.
  - 12. The apparatus according to 1, wherein the casing is a bag.
  - 13. The apparatus according to 1, wherein the casing is a toy case.

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## VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPARATUS

#### **ABSTRACT**

The present invention discloses a vibration-activated light emitting indication apparatus comprising: a case or a carrier; an indicator plate, which is transparent and contains a pattern, including, for example, a logo, characters, and drawings; an electroluminescent laminate; a power supply, which powers the electroluminescent laminate; a vibration sensor IC, which senses the vibration caused by an external act of pressing or shaking on the apparatus, and then controls an inverter to activate the electroluminescent laminate. The above-mentioned apparatus may be mounted on any case, such as a DVD box, a watch box, a jewelry box and any other cases. The pattern on the transparent indicator plate can be highlighted by the light emitted from the electrolumienscent laminate, achieving a vivid image such that the case is elaborately ornamented.

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mey Docket No. DECLARATION AND POWER OF ATTURNEY As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: VIBRATION-ACTIVATED LIGHT EMITTING INDICATION APPARATUS the specification of which □ is attached and/or □ was filed on No. as United States Application Serial or PCT International Application No. (if applicable). and was amended on I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56. I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s) designating at least one country other than the United States, listed below and have also identified below, any foreign application(s) for patent or inventor's certificate, or any PCT International application(s) having a filing date before that of the application(s) of which priority Country **Application Number** Date of Filing Priority Claimed Under 35 U.S.C. 119 R.O.C. 89222763 29/12/2000 **AK YES** □ NO I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below: YES a No **Application Number** Date of Filing I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application(s) and the national or PCT International **Application Number** Date of Filing Status (Patented, Pending, Abandoned) I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. 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No. 40,266; and FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. 1300 I Street, N.W., Washington, D.C. 20005, Telephone No. (202) 408-4000. Please address all correspondence to I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any Full Name of First Inventor Shaw-Jong Wang 4F1.-1, No. 22, Lane 376, Sec. 1, Kuang-Fu Rd., Hsinchu, Taiwan, R.O.C. Citizenship Post Office Address R.O.C. Same as above

Listing of Inventors Continued on Page 2 hereof. ☐ Yes ☐ No

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#### ASSIGNMENT

WHEREAS I/We, the below named inventor(s), [hereinafter referred to as Assignor(s)], ha

NTRRATION :	red to as Assignor(a)
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address is No. 42 Kurofi N. D. W.	
address is No. 42, Kungfu N. Rd., Hsinchu Industry District, Hu Ko, Hsinchu Hsien, (hereinafter referred to as Assignee), is desired.	whose post office
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AND, I/WE HEREBY further covenant and agree that I/We will, with me/us respective the consideration and agree that I/We will, with	•
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application number of said application when known.	ng date and
IN TESTIMONE IN THE STATE OF TH	
IN TESTIMONY WHEREOF, I/We have hereunto set our hand(s).  1. Full Name of Sole or First Assignor: Assignor hand(s).	
- Assignor's Signature	. Det
AUDITAGE	: Date :5/14/2001
4F11, No. 22, Lane 376, Sec. 1, Kuang-Fu Rd., Hsinchu, Taiwan, R.O.C.	: Citizenship R.O.C.
2. Full Name of Second Assignor : Assignor's Signature	RO.C.
Address	: Date
No. 14, Alley 45, Lane 143, Hwa Hsin St., Chung Ho, Taipei, Taiwan, R.O.C.	300 65.18
3. Full Name of Third Assignor : Assignor's Signary	: Citizenship RO.C.
Chien-Hua Wu : Assignor's, Signature	: R.O.C.
Address : (h) on the 1/8.	: >00/ 05,/V
No. 68-1, Lin 7, Shan Sha Li, Tou Fang, Miao Li Hsien, Taiwan, R.O.C.	: Citizenship
	: R.O.C.

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. . WASHINGTON, D.C.

	TITOKNEY JCK	ET NO.:
4. Full Name of Fourth Assignor Charen Yu Address	: Assignor's Signature	: Date
9th Fl., No. 218-4, Sec. 4, Chung Hs 5. Full Name of Fifth Assignor	riao E. Ri., Taipei, Taiwan, R.O.C.	: 15/May/200 : Citizenship
Address	: Assignor's Signature :	: Date
. Full Name of Sixth Assignor	: Assignor's Signature	: Citizenship
Address		: Date
. Full Name of Seventh Assignor	: Assignor's Signature	: Citizenship :
Address	:	: Date :
. Full Name of Eighth Assignor	: Assignor's Signature	: Citizenship
Address	:	: Date :
Full Name of Ninth Assignor	: Assignor's Signature	: Citizenship
Address	:	: Date :
.Full Name of Tenth Assignor	: Assignor's Signature	: Citizenship
Address	- : - Jagnacule	: Date :
.Full Name of Eleventh Assignor	: Assignants a:	: Citizenship
Address	: Assignor's Signature :	: Date
Full Name of Twelfth Assignor	· Accionant	: Citizenship
Address	: Assignor's Signature :	: Date
		: Citizenship



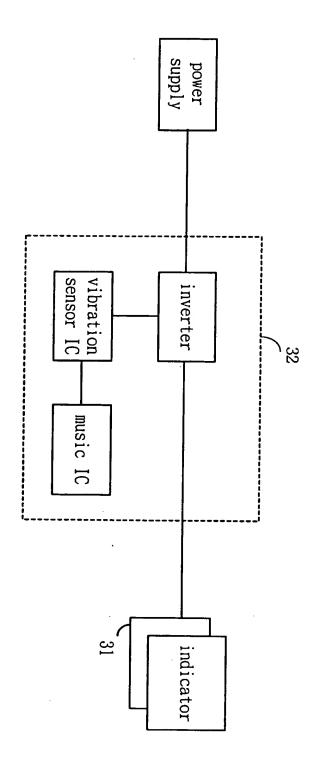


Figure 3

